



# **Near Field Scanning**

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GGIEMR

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- Conclusion

# Introduction

#### EUTs: radiators at PCB level

complete electronic systems

printed antennas

RF components





fast clock circuits



packages



Common characters:

electrically small, approximately **2D** complex structure, high coupling effects

- intentional radiations:
  - antenna design
  - remote control



RIVING

original signal

COPPER TRACK

EMI affected

- unintentional radiations:
  - by-product of fast clock circuits
  - EM interference (EMI)
  - signal integrity (SI)

#### Awareness of chip manufactures

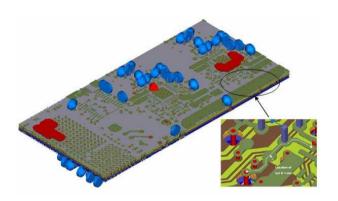


First of all, it is essential to predict the radiations from PCBs.

### Two ways to simulate: direct simulation & equivalent methods

• directly modeling in a full field solver

3D EM simulation of mixed analog / digital PCB





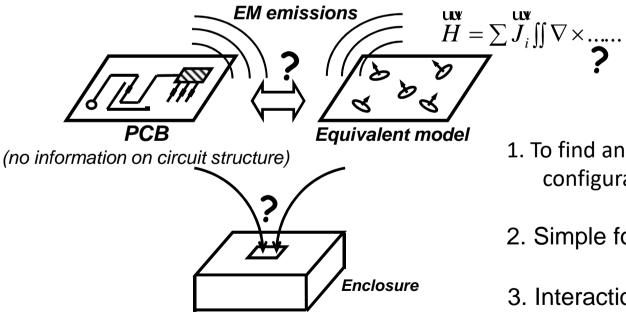
modeling	running	memory
time	time	required
1 week	10 h	3 GB

#### Difficulties

- unrealistic computational resources and time due to increasingly complex circuit structure
- unknown characteristics of the circuit
- confidential reasons

#### Equivalent modeling

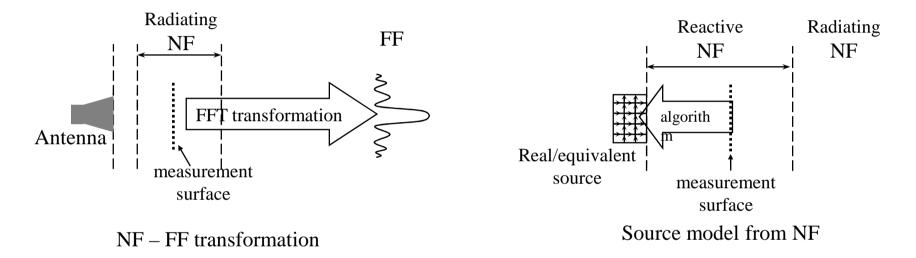
- not modeling the complete complexity of PCBs
- representing the radiations by equivalent sources
- fast and computationally low-cost
- general for radiators at printed board level



- 1. To find an efficient equivalent configuration to represent the PCB
- 2. Simple formulation
- 3. Interactions with packages

#### Near-field Scanning

Popular technique for providing EM fields closely surrounding DUTs



X. Tong, et. al, "Modeling EM Emissions from PCBs in Closed Environments Using Equivalent Dipoles", IEEE Trans. EMC, Special Issue on PCB Level EMC

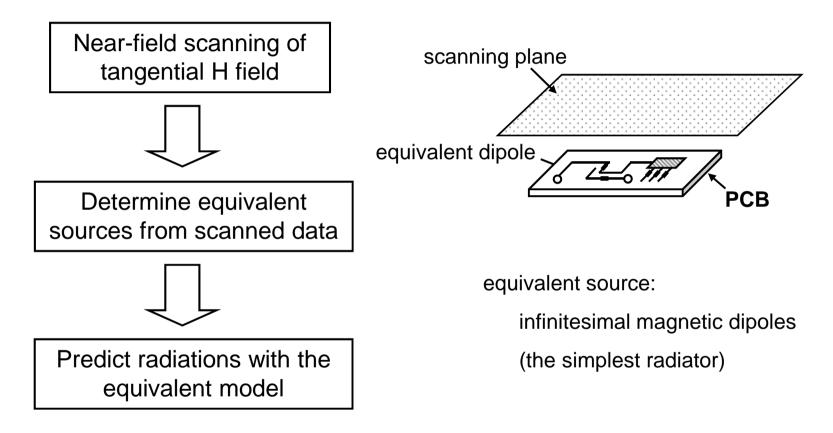
Basic idea: to replace the PCB with an array of equivalent dipoles

- Why dipole? the simplest radiator
- Where? the component side of the PCB (except possibly multi-layered boards)
- How many dipoles? with resolution of about  $\lambda / 10$  (but depends on scan height)
- How to determine? from near-field scanning

The scanned near fields contain sufficient information for characterizing the emissions from a PCB

Near field PCB

#### Modeling procedure

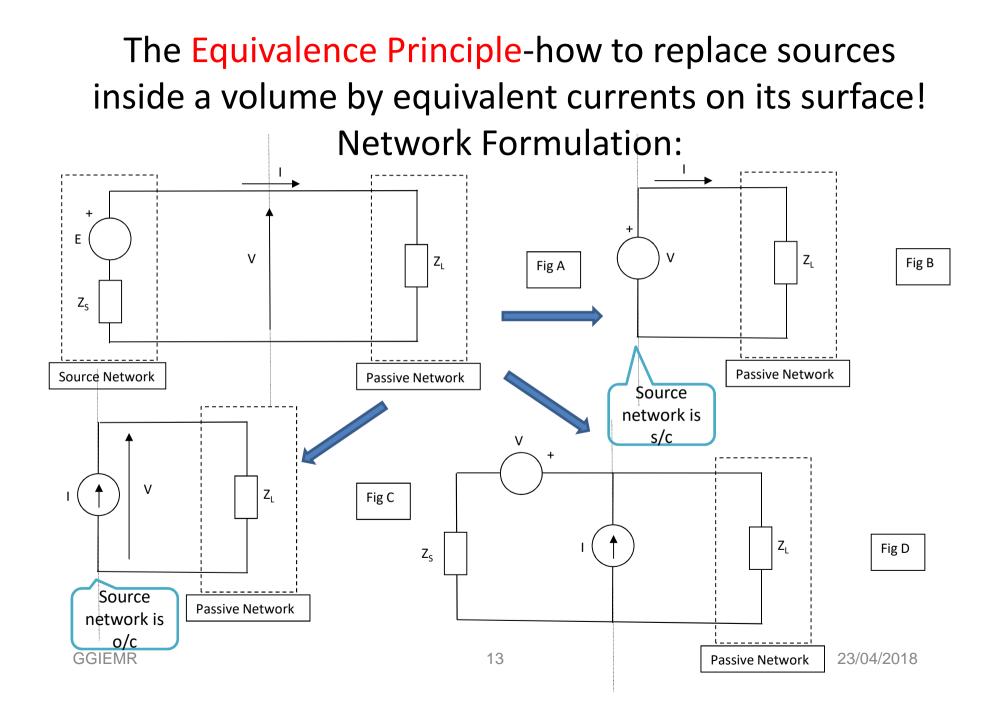


# Number, position, moment, orientation of equivalent dipoles

## **Near-Field Scanning**

# Equivalence Principle

- In many EM problems, such as the near-field scanning, we seek to calculate the field in the region of space above the PCB and thus we seek a distribution of sources that does that and we are not concerned for other parts of space.
- This distribution of sources is not unique-we have several options as is discussed in the next few slides
- We start with illustrating the principles from circuit theory, as it is easier to comprehend, and then extend the ideas to fields-the case of interest here!

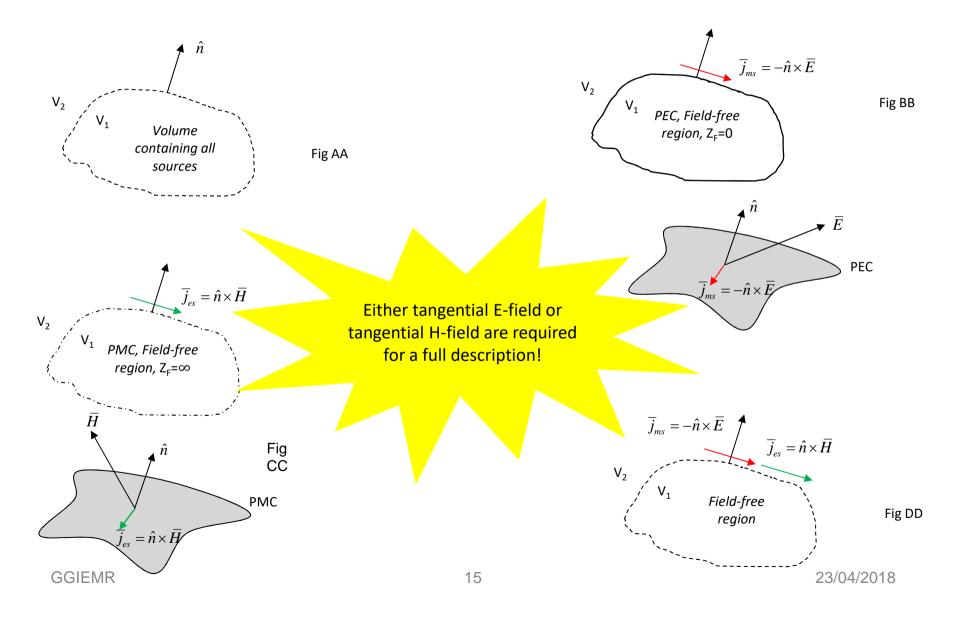


We see that the original circuit in Fig A can be replaced, as far as conditions at the load ZL are concerned, by either of three circuits (equivalents) shown in Figures B, C, D. All we have do is to impose voltage (V) and/or current (I) sources at the boundaries beyond which we wish to evaluate conditions (the surface fields in the field problem).

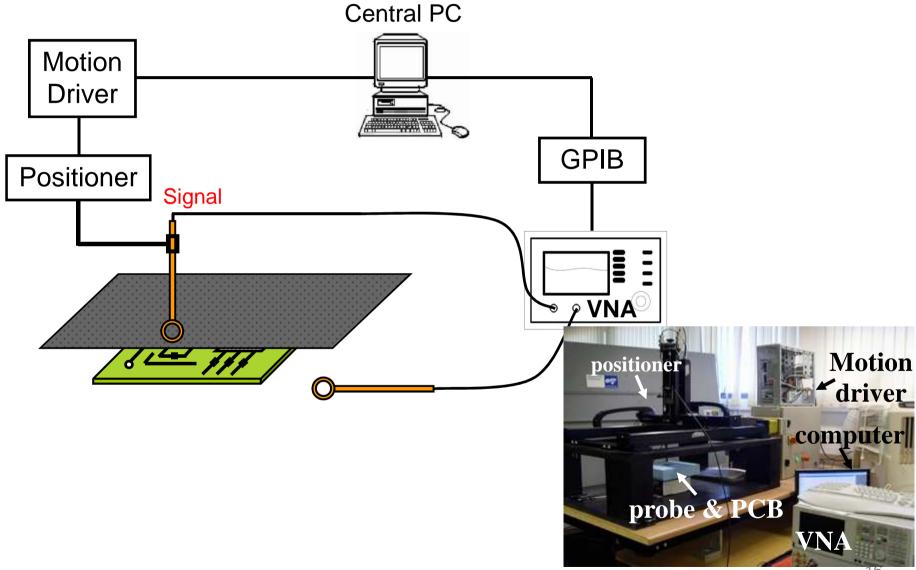
We see that we can get away by specifying either V (tangential electric field in the field problem) or I (tangential magnetic field in the field problem) or both if we so wish.

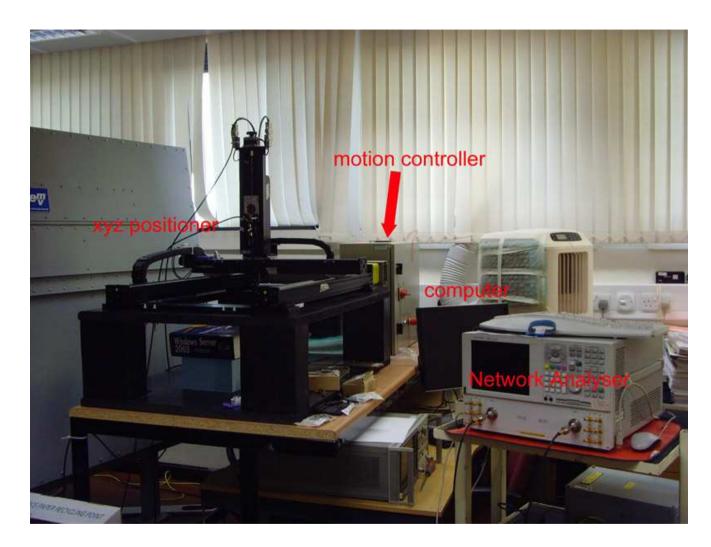
Since measurements and scanning are time consuming we normally measure only one (E or H). This is illustrated in the next slide for the field case...

#### **Equivalence** Principle-Field Formulation:



### Near-Field Scanning System



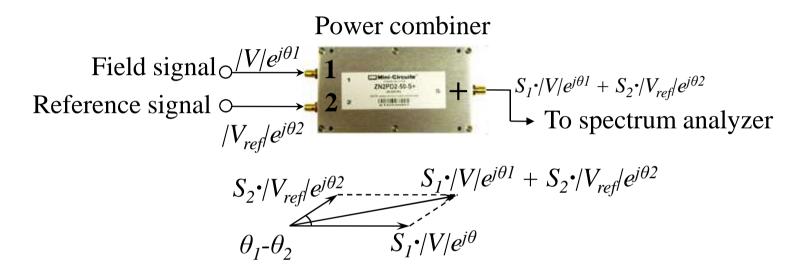








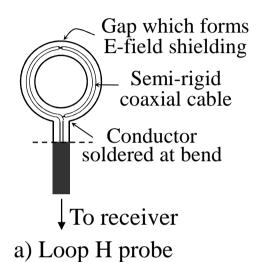
#### With a spectrum analyzer (amplitude-only)



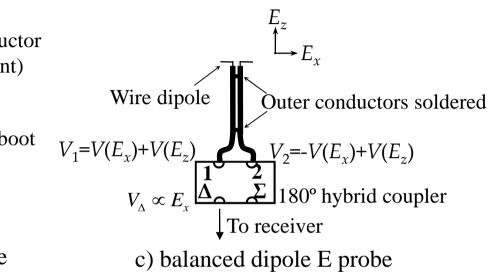
- 3-step measurement for phase
- 1. Field signal |V|

2. Combined signal  $|V_{sum}|$   $|\theta_1 - \theta_2| = \arccos\left(\frac{|V_{sum}|^2 - |S_1|^2 \cdot |V|^2 - |S_2|^2 \cdot |V_{ref}|^2}{2|S_1| \cdot |S_2| \cdot |V| \cdot |V_{ref}|}\right)$ 3.  $|V'_{sum}|$  with a phase shifter  $|\theta_1 - \theta_2 - \Delta \theta| = \arccos\left(\frac{|V_{sum}|^2 - |S_1|^2 \cdot |V|^2 - |S_2|^2 \cdot |V_{ref}|^2}{2|S_1| \cdot |S_2| \cdot |V| \cdot |V_{ref}|^2}\right)$ 

### Near-field probes

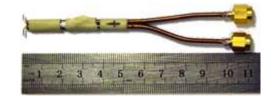


Short inner conductor (sensing element) (sensing element) (sensing element) (sensing element) (Value of the sense of the











Response of ideal probes  $V_i = C \cdot E_i$  or  $V_i = C \cdot H_i$  (i = x, y, z)

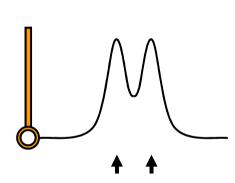
### Probe characterization

- Spatial accuracy & sensitivity: tradeoff, probe size
- H/E rejection ability: intrinsic character, GTEM cell test

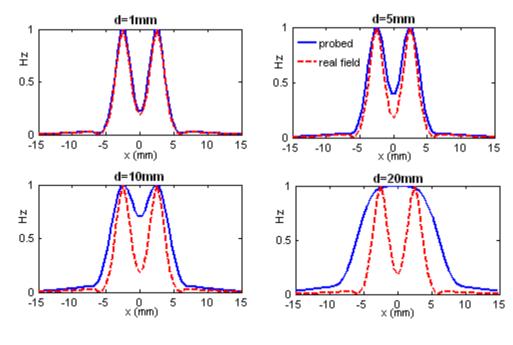
• Disturbance effect:  $V_i = C \cdot H_i = C \cdot H_{0i} (1 - \rho)$ a function of scanning height, frequency, probe size, wave impedance 3% error in typical near-field range

### Probe characterization

• Spatial accuracy vs Sensitivity



2 magnetic dipoles Probe with different diameter *d* Simulation



-> min meaningful scanning spacing > d/4

• H/E rejection ability

$$V = V_{H} + V_{E} = C_{H} \cdot H + C_{E} \cdot E = (C_{H} + \eta C_{E}) \cdot H$$
Response to H Response to E  
field (wanted) field (unwanted)
GTEM cell test
$$V = V_{H} + V_{E}$$
a) Aperture perpendicular to H
$$V = V_{H} + V_{E}$$
b) Aperture parallel to H
$$V = V_{E} + \Delta$$

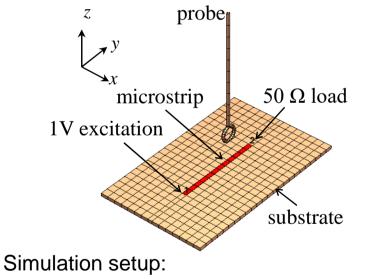
$$R = \frac{V_{H}}{V_{E}} = \frac{C_{H}H}{C_{E}E} = \frac{C_{H}}{C_{H}\eta_{0}}$$

#### Probe disturbance to field

Disturbance effect  $H = H_0 - \Delta H = H_0 (1 - \rho)$ 

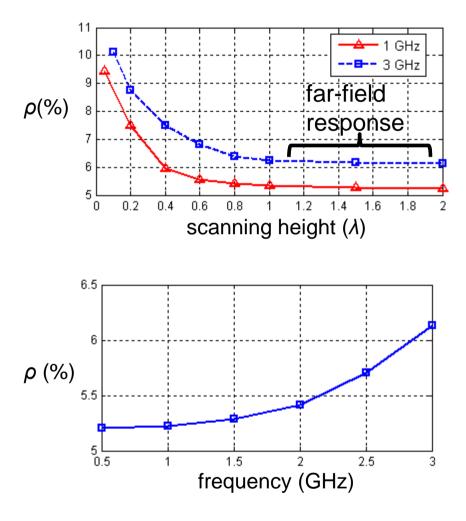
Actual response  $V_i = C \cdot H_i = C \cdot H_{0i} (1-\rho)$ 

Disturbance factor  $\boldsymbol{\rho}$  is not constant



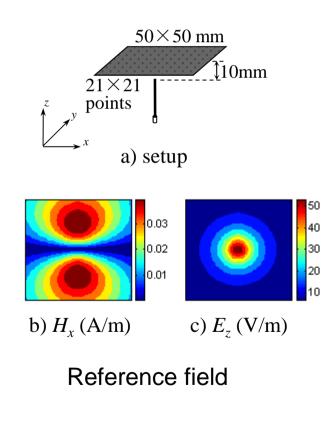
Calibration configuration proposed in IEC-61967-3

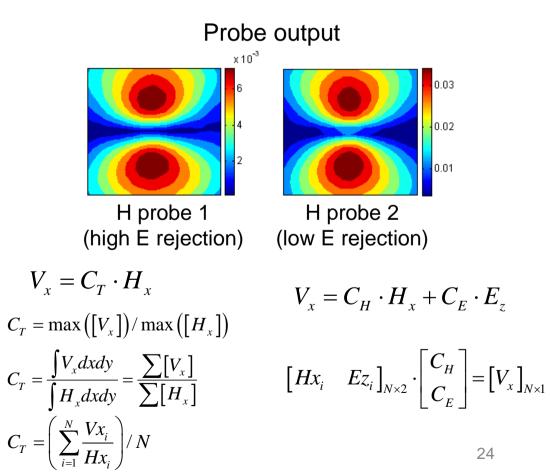
Statistical result: variation of  $\rho$  in typical near-field region = 3% The far field response would be corrected in the calibration process <sup>23</sup>



### Probe calibration

- illuminating the probe with a known reference field
- comparing probe output with reference field





### Measurement errors of the system

Category	Source	Typical value (dB)	
Probe	Probe positioning	0.05	
	Antenna parameter	0.12	
	Response to the variation of E/H	0.13	
	Disturbance effect to the field	0.13	
Receiver	Dynamic range	0.00	
	Receiver imperfections		
	Mismatch / joint	0.25	
	Receiver random errors		
Test conditions	Room scattering	0.05	
	Leakage and crosstalk	0.05	

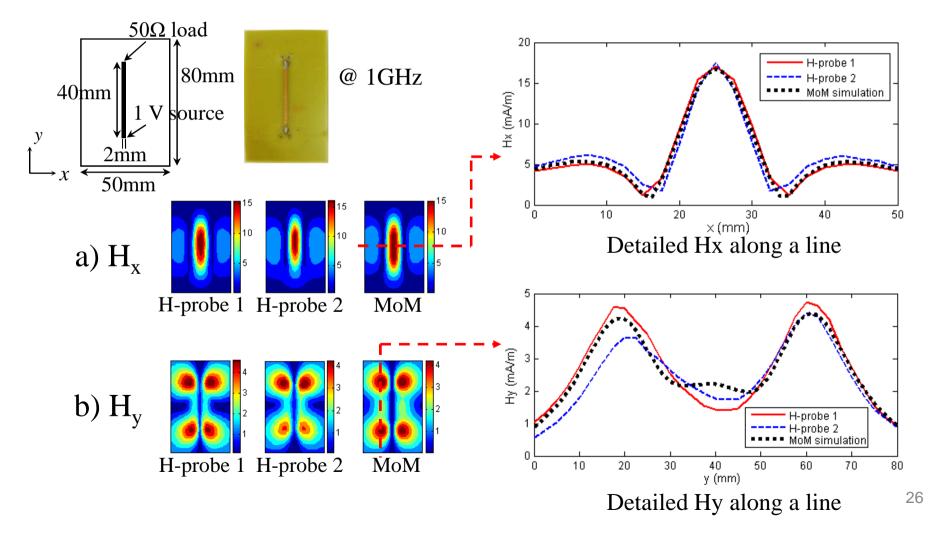
Phase error Im A- $\varepsilon_{mag}$   $\varepsilon_{phase}$ Re  $\varepsilon_{phase} = \sin^{-1}\left(\frac{\varepsilon_{mag}}{A}\right) = 5^{\circ}$ 

$$\varepsilon_{\text{total}} = \sqrt{\sum_{i} 3\sigma_i^2 + \sum_{j} \varepsilon_j^2} = 0.35 \text{dB}$$

25

### Measurement results

• A test board, compared with simulations



#### Dependence on measurement parameters

- The equivalent model is built from scanned near-field data
- Scanned near-field contains EM information of the EUT
- Sufficient information needed to fully characterize the EUT

Information theory	Near-field sampling
Sampling rate	Scanning resolution
Information volume	Scanning plane size
SNR	SNR

To study the dependence ...

equivalent model built from NF data with different parameters

A correlation coefficient between FF given by

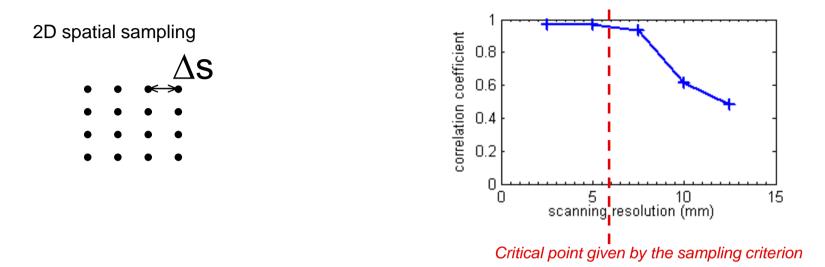
#### &

direct model

$$\gamma = \frac{\sum_{i=1}^{N} \left(E_{i} - \overline{E}\right) \left(E_{i}^{'} - \overline{E}^{'}\right)}{\sqrt{\sum_{i=1}^{N} \left(E_{i}^{'} - \overline{E}\right)^{2} g \sum_{i=1}^{N} \left(E_{i}^{'} - \overline{E}^{'}\right)^{2}}}$$

 $\gamma$  > 90%, NF data are sufficient

Dependence on scanning resolution (sampling rate)



A criterion of near-field sampling

(similar to the Nyquist criterion in information theory)

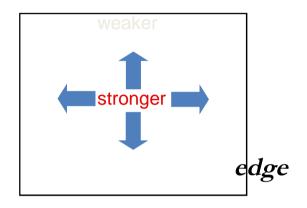
Max space allowed for obtaining sufficient NF information:

$$\Delta s = \frac{\lambda}{2\sqrt{1 + (\lambda/d)^2}}$$
  $\lambda$ : wavelength  
d: separation distance from EUT to probe

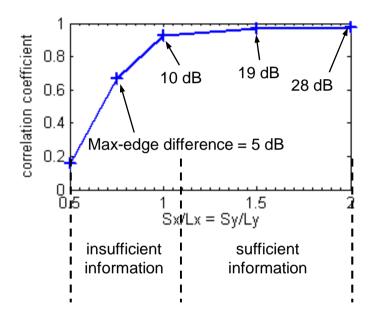
=5.7 mm for the case above

#### Dependence on scanning plane size (information volume)

#### H field vertically above a PCB



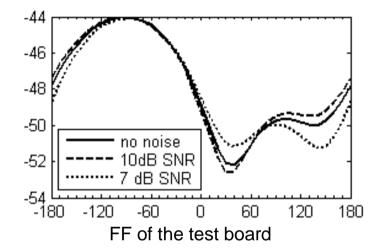
- Ideally scan until min measurable level reached
- Max edge difference: H(max) H (edge)



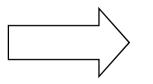
max-edge > 15 dB

#### Effect of SNR

- Intentionally add normally distributed noise
- σ= 10% -> 10 dB SNR
- σ= 20% -> 7 dB SNR

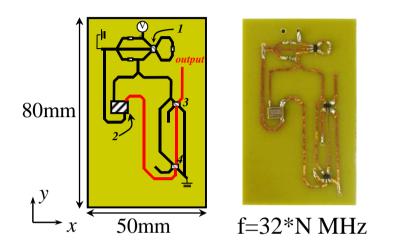


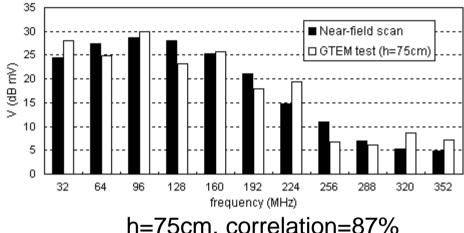
7 dB SNR:  $\pm$ 2dB uncertainty 10 dB SNR:  $\pm$ 1dB uncertainty Typical dynamic range: >30 dB



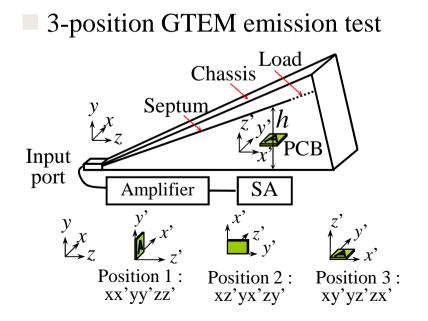
The method is stable enough to measurement noise

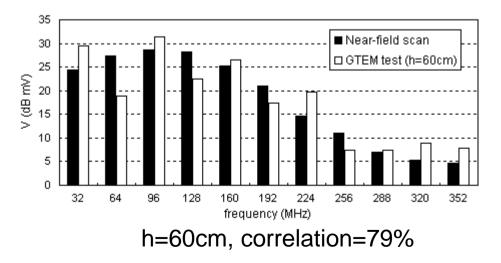
Fast clock digital circuit, compared with GTEM test 





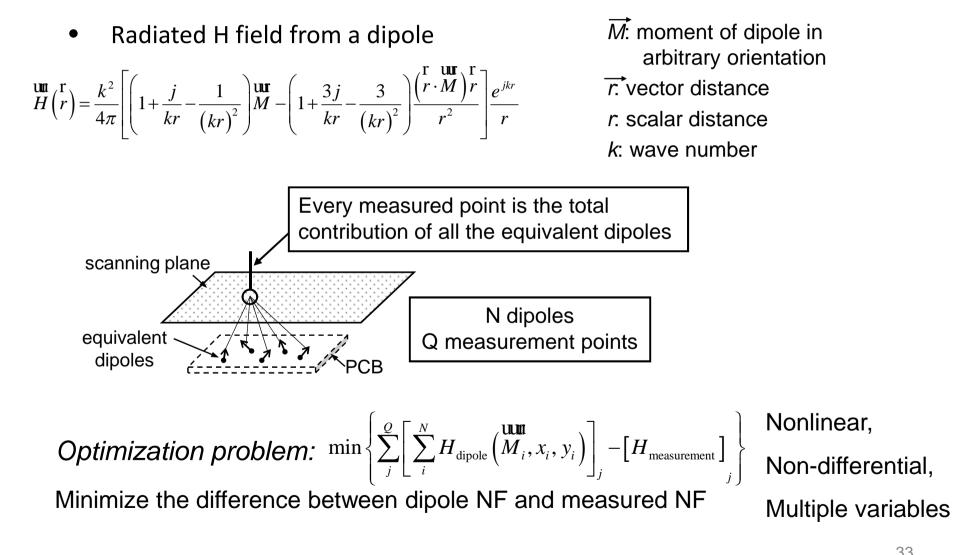






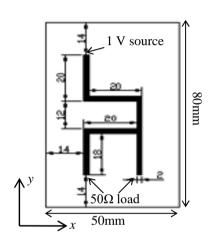
# Modeling in Free space

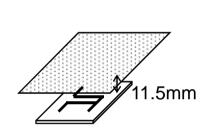
#### Equivalent dipole identification (1) - GA



#### Results

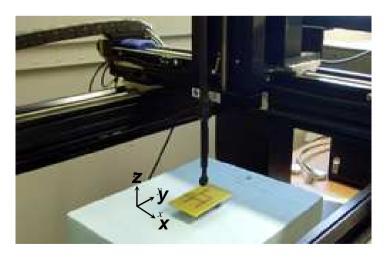
• A test board at 1 GHz (backed by a ground plane)

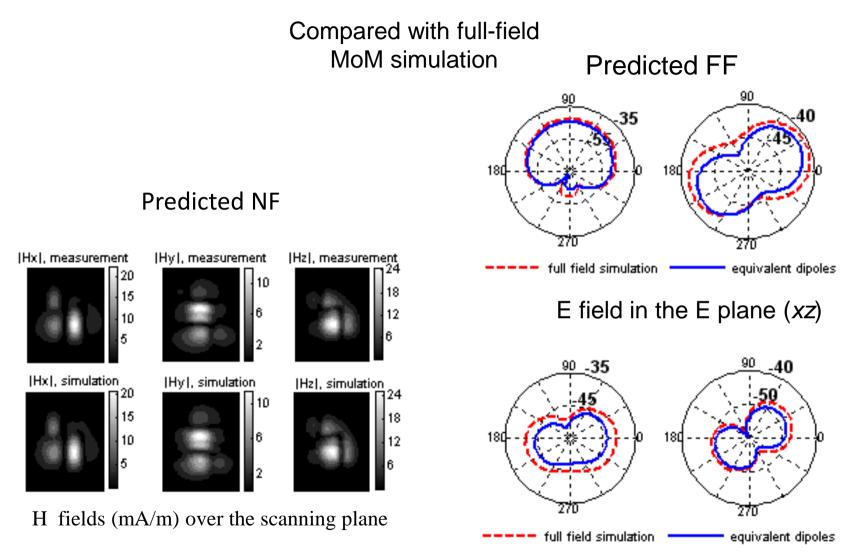




Equivalent source identification

Scanned components	Hx and Hy
Height of scanning plane	11.5 mm above the PCB
Size of scanning plane	120 * 75 mm
Scanning resolution	2.5 mm
Number of dipoles	28





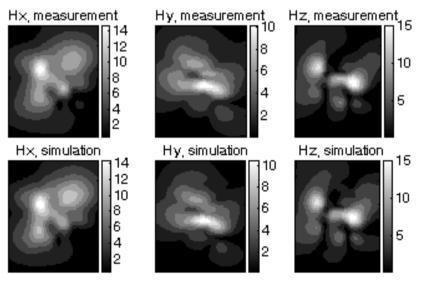
E field in the H plane (yz)

• Global optimization

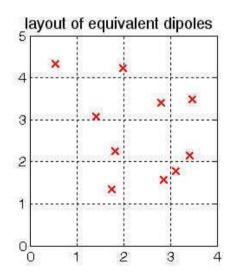
-> very accurate representation of equivalent sources

• Irregular positions of resulted dipoles

-> difficulty in subsequent modeling

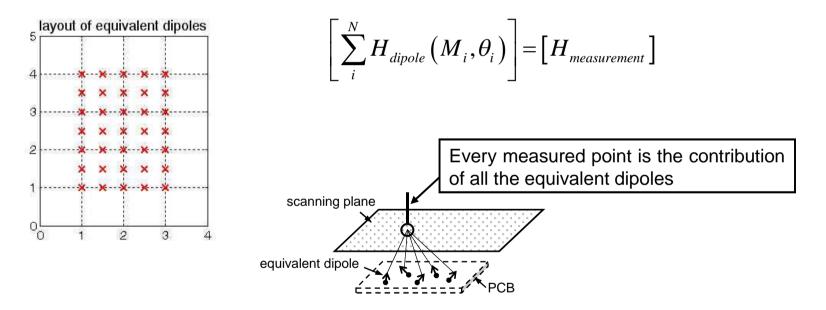


Correlation coefficient = 97%



Equivalent source identification (2) – inverse solution

- Dipoles placed in a pre-fixed matrix grid
- H(dipole) = H(measure)



Position is fixed. Find the moment  $M_i$  and orientation  $\theta_i$  of each dipole from an inverse problem

### Computation:

- Decompose every dipole to 3 component Mx, My, Mz (eliminate  $\theta$ , linear problem )

- H field radiated by a dipole component (z-directed for example):

$$H_{x} = M^{z} \frac{jke^{-jkr}}{4\pi r^{4}} (x - x_{0})(z - z_{0}) \left(jkr + 3 + \frac{3}{jkr}\right) = M^{z} \xi_{x}^{z}$$

$$H_{y} = M^{z} \frac{jke^{-jkr}}{4\pi r^{4}} (y - y_{0})(z - z_{0}) \left(jkr + 3 + \frac{3}{jkr}\right) = M^{z} \xi_{y}^{z}$$

$$H_{z} = M^{z} \frac{jk^{2}e^{-jkr}}{4\pi r} \left[\frac{(z - z_{0})^{2}}{r^{2}} \left(j + \frac{3}{kr} + \frac{3}{jk^{2}r^{2}}\right) - \left(j + \frac{1}{kr} + \frac{1}{jk^{2}r^{2}}\right)\right] = M^{z} \xi_{z}^{z}$$

- After simplification: *m* measurement points & *n* dipoles

$$\begin{bmatrix} \xi_{x(\text{dipole})}^{x(\text{dipole})} & \xi_{x}^{y} & \xi_{x}^{z} \end{bmatrix}_{m \times n} \begin{bmatrix} M^{x} \\ M^{y} \\ M^{z} \end{bmatrix}_{n \times 1} = \begin{bmatrix} H_{x} \end{bmatrix}_{m \times 1}$$

$$\begin{bmatrix} Linear equations \\ p -> calculated \\ Hx and Hy -> measured \\ Solve M from an inverse problem \end{bmatrix}$$

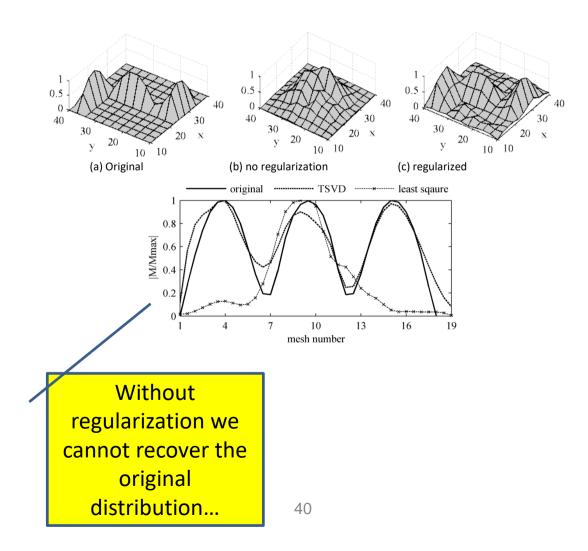
$$Calculated measured$$

# **De-noising Experimental Data**

The sort of problem encountered in obtaining the equivalent dipoles is solving equations of the type

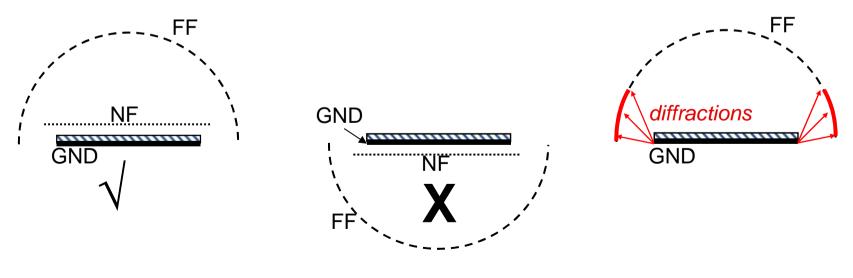
$$\left[A\right]\overline{x} = \overline{b}$$

Since the data come from measurements they are contaminated by noise. One approach for cleaning out some of the noise, known as Tikhonov regularization based on SVD has been found to be useful. Current distribution on a bent microstrip obtained from near-field data with a 5dB SNR... Truncated Singular Value Decomposition (TSVD)

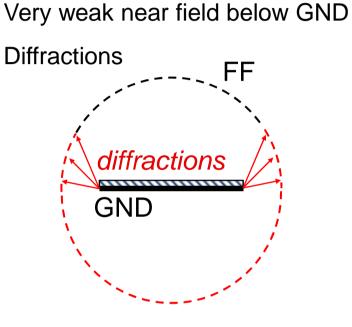


When a PCB has a ground plane ...

- Basic equivalent model only works for the upper half space
- Below the PCB, near field is too weak to measure
- Impossible to map the far field
- Diffractions near the PCB plane



### PCB with a ground plane



Finite GND model: dipoles + GND Image theory for source identification

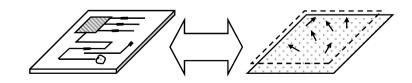
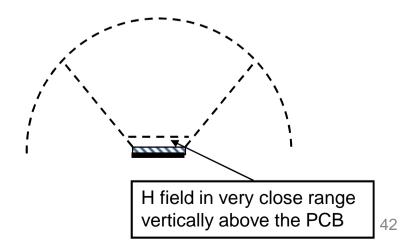


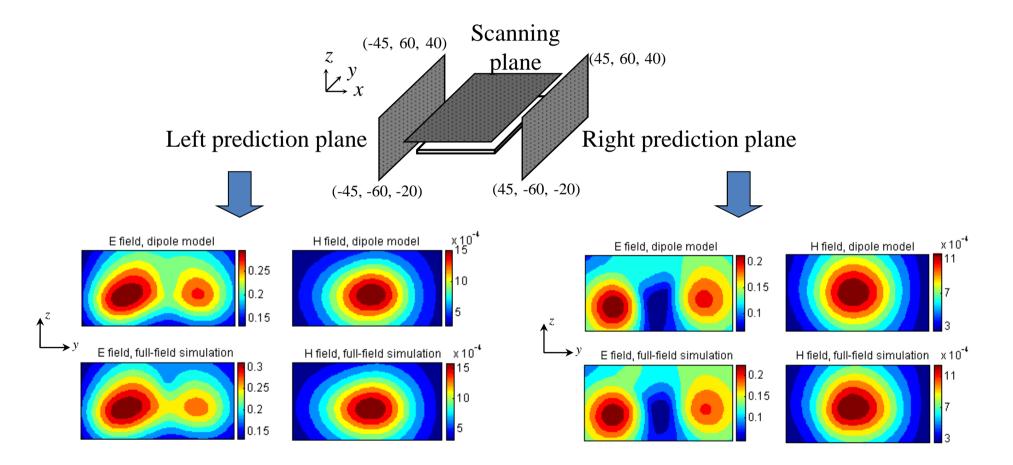
Image theory for infinite GND: H (total) = H (direct) + H (image)

- Finite GND: H (total) = H (direct) + H (image) + H (diffraction)
- A region where diffractions take a negligible part
   H (total) ≈ H (direct) + H (image)
- Scan H field in this region
- Apply image theory with finite GND

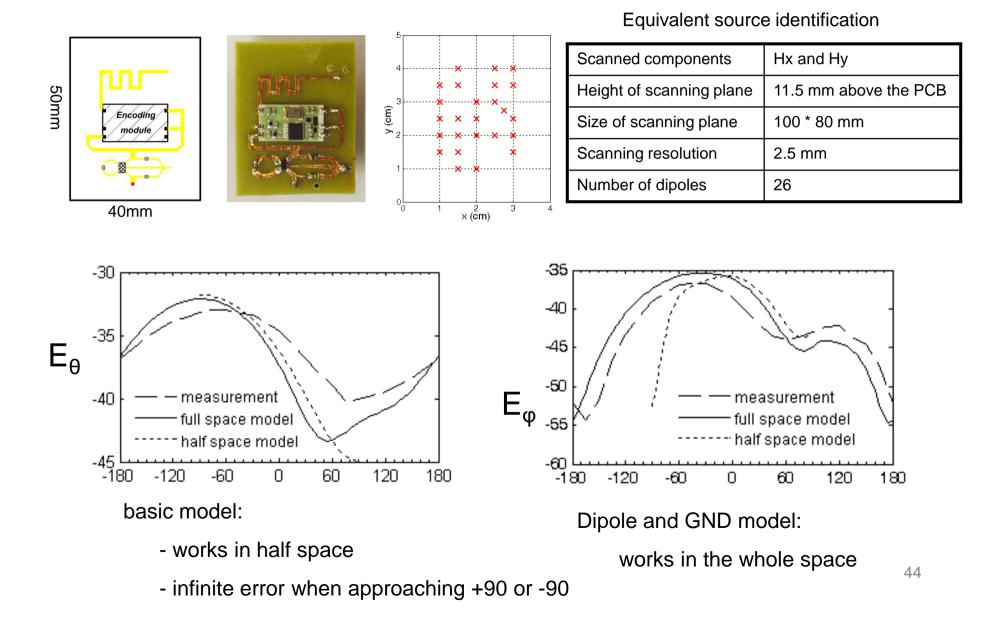


### Results

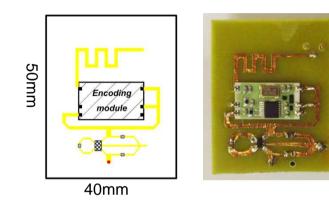
• L-shaped microstrip board, Near-field prediction

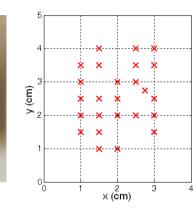


### Telemetry PCB, Far-field prediction



• Test board (a telemetry PCB, 868.38 MHz)

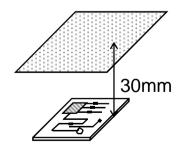


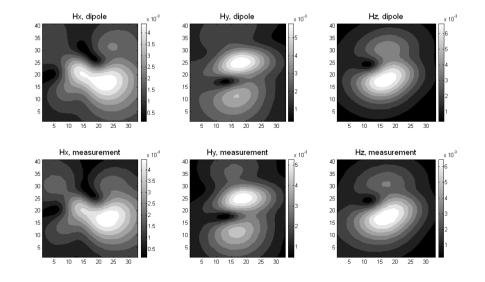


#### Equivalent source identification

Scanned components	Hx and Hy
Height of scanning plane	11.5 mm above the PCB
Size of scanning plane	100 * 80 mm
Scanning resolution	2.5 mm
Number of dipoles	26

Use the equivalent model to predict radiations from the PCB





### Comparison of computational requirements

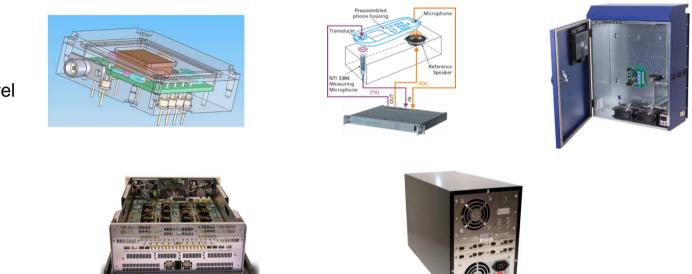
DUT	Method	Run time	Memory	Modeling time
Test board	Full field modeling	20 min	200 MB	30 min
	Equivalent modeling	1 min	10 MB	5 min
Telemetry PCB	Full field modeling	N/A	N/A	N/A
	Equivalent modeling	1 min	10 MB	5 min

### Comparison between GA and inverse solution

	GA	Inverse solution
Accuracy	****	****
Computational efficiency	*	****
Modeling convenience	**	****
Code re-use	***	****

### Modeling in Closed Environments

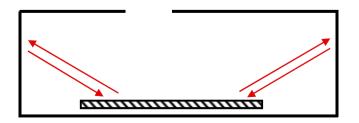
### PCB working with packages and enclosures



Component level

System level

- EMC mechanism: multiple interactions
- Model excitation + interactions



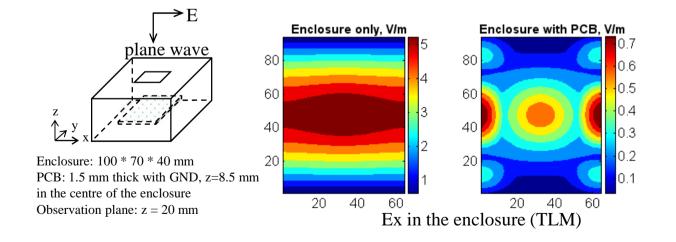
PCB – emissions – enclosure

Enclosure – emissions – currents on PCB

How to represent interactions between PCB and enclosure

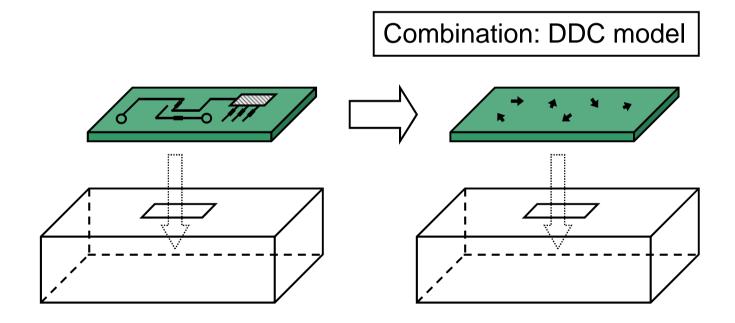
Considering typical situations: not highly populated

- Change of PCB currents, power, impedance ... -> negligible factors
- Physical presence of PCB dampening waveguide -> significant factor
- An approximate model to generally represent the interactions

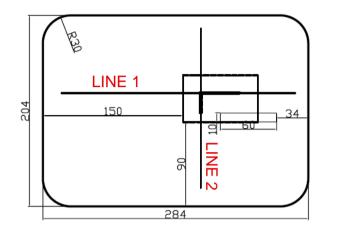


### Modeling

- Enclosure -> regarded as a waveguide (above or below cut-off)
- PCB body -> modeled as a slab of homogeneous dielectric material (representing EM passive properties)
- Active emissions -> represented by equivalent dipoles



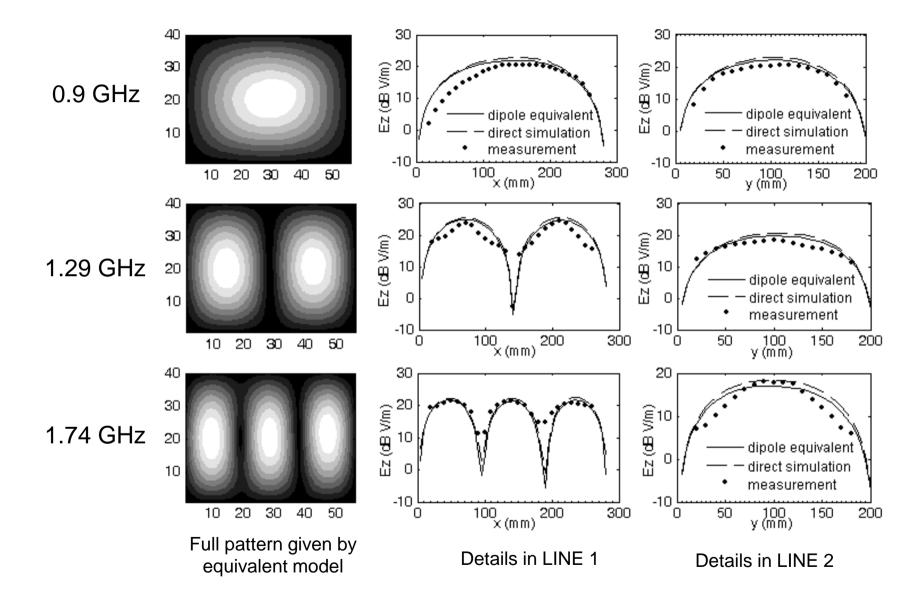
Validation: resonance prediction



Configuration:

- 284 \* 204 \* 75 mm box with a 60 by 10 mm slot
- test board mounted on the bottom
- observation plane: 35 mm above the bottom
- 2 observation lines for more details

# DDC model compared with full field model & measurement along 2 observation lines

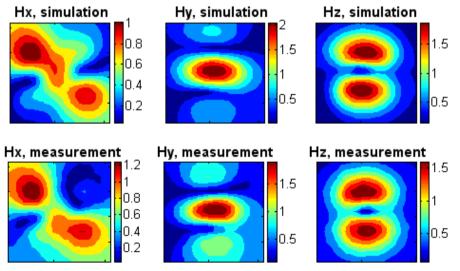


#### Application 1: EM leak from an aperture

28.1mm

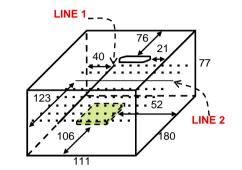
Configuration

- Telemetry PCB mounted on the bottom of an enclosure
- Predict emissions 10 mm above the aperture



H field above the aperture (mA/m)

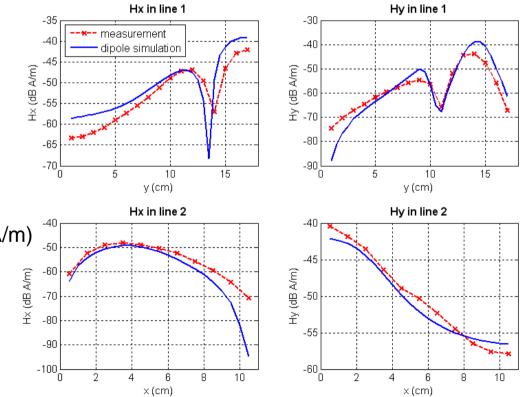
Application 2: emissions in a closed environment



Geometry of this configuration (mm)

- PCB working in a larger enclosure

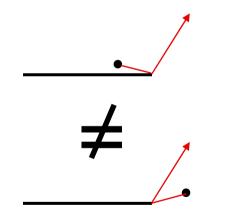
- DDC model to predict the field inside



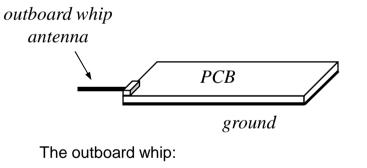
Agree above the noise floor of measurement system (-65 ~ -70 dB A/m)

#### Limitations

- 2D placement of equivalent dipoles -> single layered PCB only
- Approximations to the ground -> all the radiators must be onboard for a grounded PCB



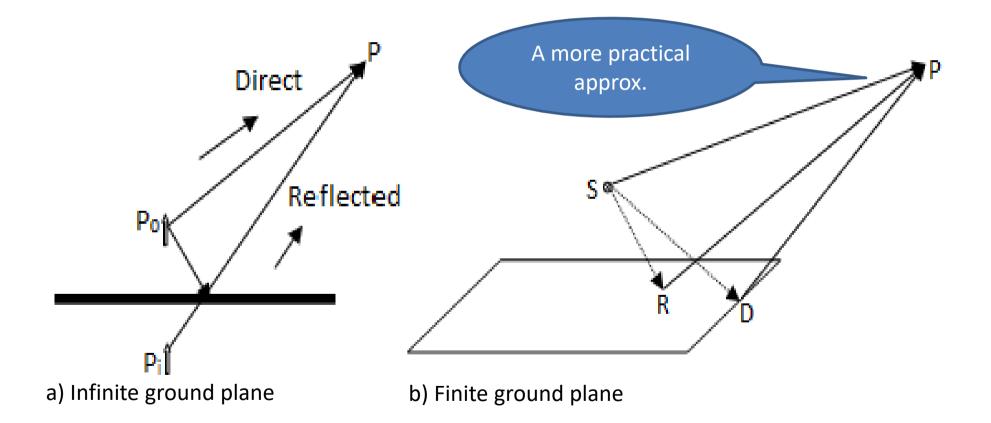
diffraction mechanism of an onboard and outboard dipole



- Another radiator apart from the PCB
- Modeled separately

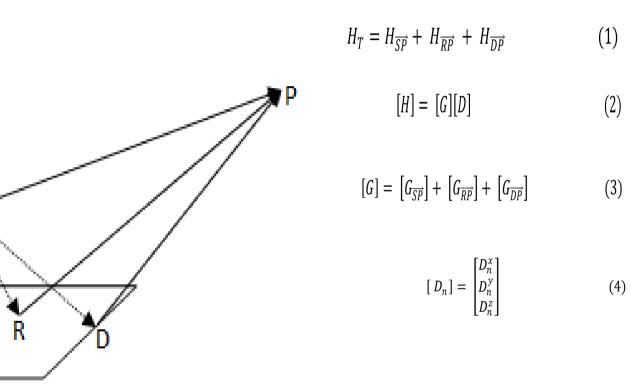
# Accounting for diffraction

### Possible approximations



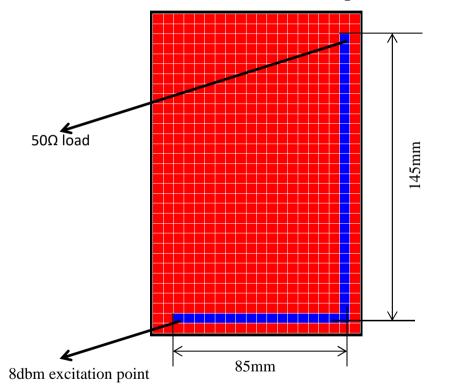


Sø

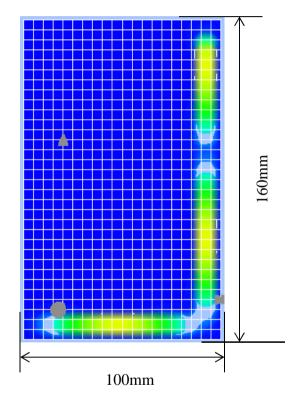


$$[H_m] = \begin{bmatrix} G_{m,n}^x & G_{m,n}^y & G_{m,n}^z \end{bmatrix} \begin{bmatrix} D_n^x \\ D_n^y \\ D_n^z \end{bmatrix}$$
(5)

#### Validation

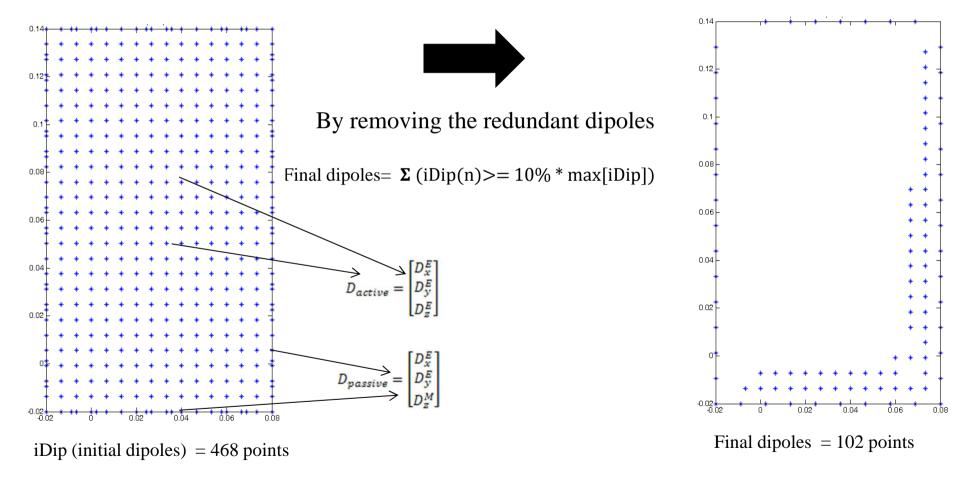


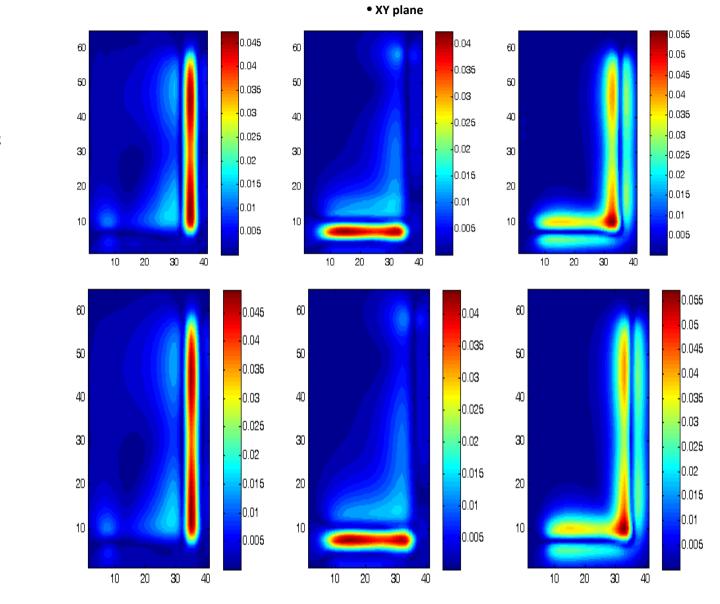
➤ Simulation : MoM-based Concept-II at 900MHz



#### Validation

#### Equivalent Dipole Modeling

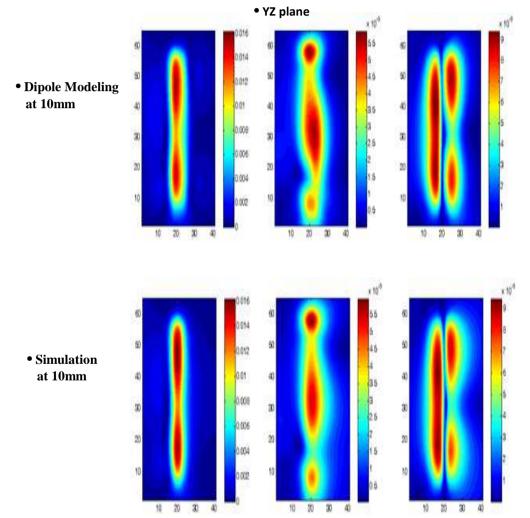


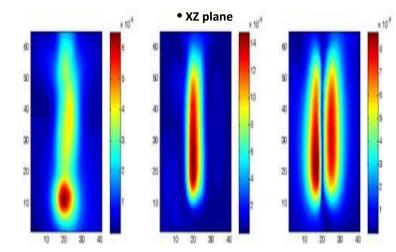


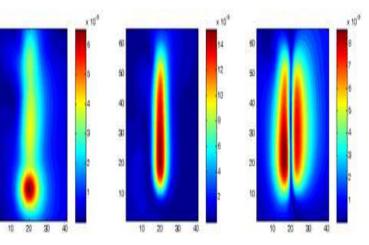
#### **Results**

• Dipole Modeling at 10mm

> Simulation at 10mm



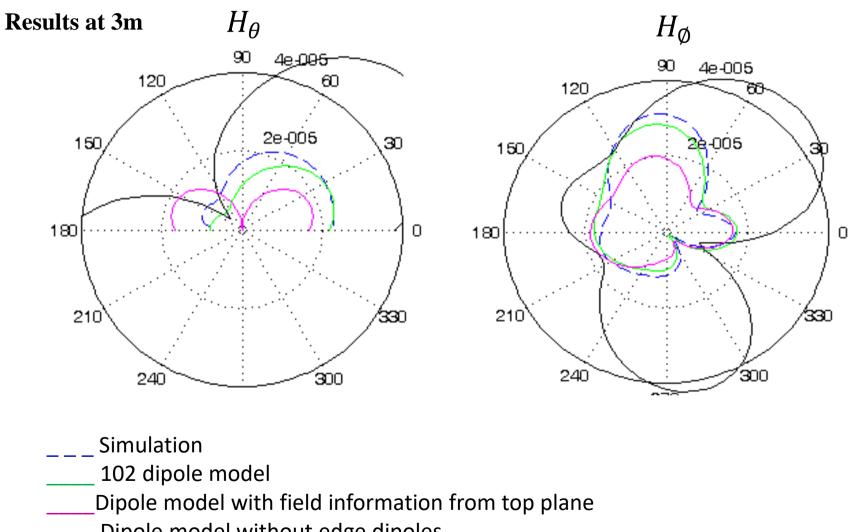




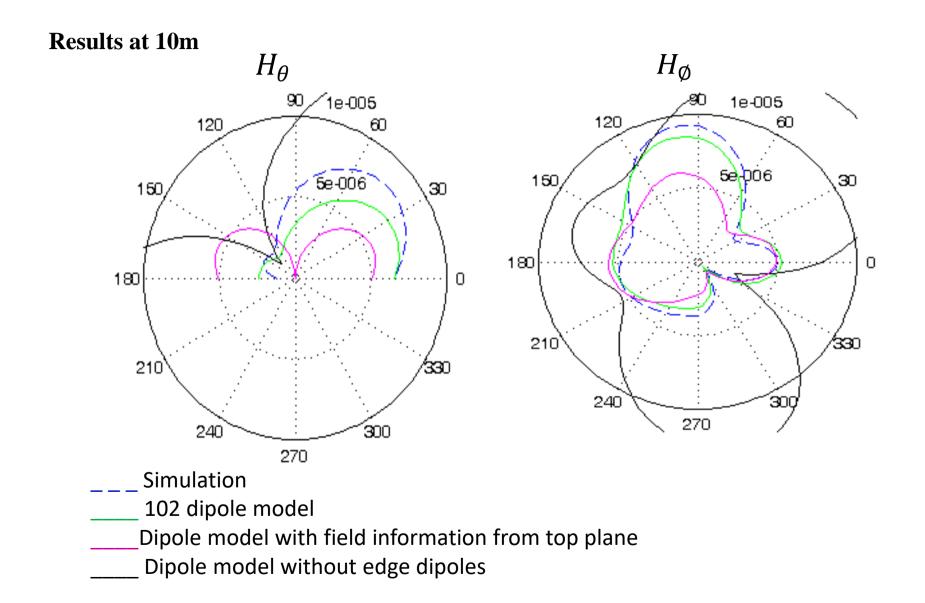
a

20

#### Results



Dipole model without edge dipoles

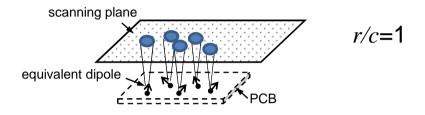


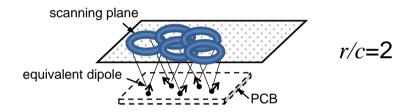
# Time Domain

# Time domain

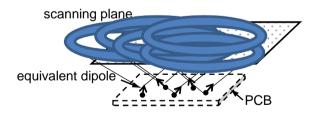
- Equivalent dipole modelling well established in the frequency domain
- Interference and emissions can be time dependent
- Increasing interest in time domain characterisation
- Few if any approached for near field characterisation in the time domain

## One of the Challenges





*r/c*=3



# Relationships

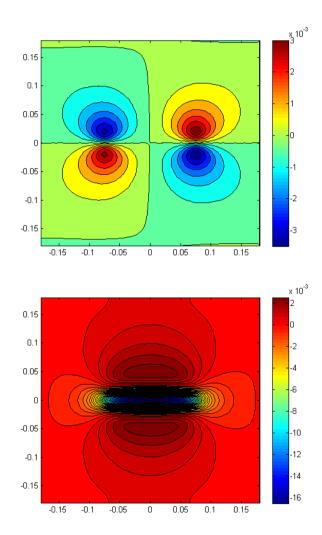
$$H_{\chi}(t) = \frac{z - z_0}{4\pi} \left[ \frac{1}{r^3} D_{\chi}(t - r/c) + \frac{1}{cr^2} \frac{\partial D_{\chi}(t - r/c)}{\partial(t - r/c)} \right] - \frac{y - y_0}{4\pi} \left[ \frac{1}{r^3} D_{\chi}(t - r/c) + \frac{1}{cr^2} \frac{\partial D_{\chi}(t - r/c)}{\partial(t - r/c)} \right]$$

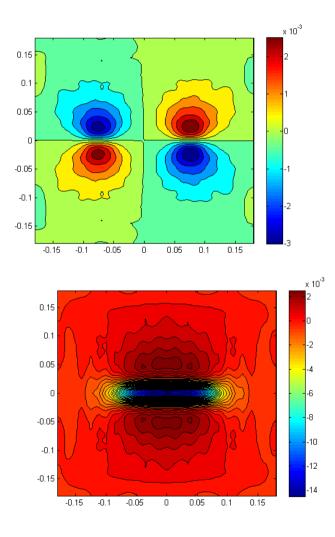
$$\frac{\partial D(t)}{\partial t} = \frac{D(t) - D(t - \Delta t)}{\Delta t}$$

$$H_x(t) = \left[\eta_{x,a}(x,y)\right] \left[D\left(t - j_{x,y}\Delta t\right)\right] + \left[\eta_{x,b}(x,y)\right] \left[D\left(t - j_{x,y}\Delta t - 1\right)\right]$$

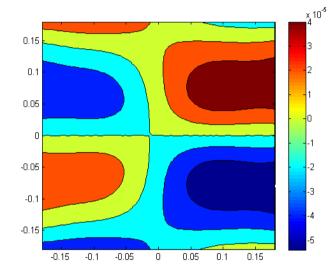
$$\begin{split} [D(t)] &= [\xi_1]^{-1} ( \left[ H_{x,y}(t) \right] - [\xi_2] [D(t - \Delta t)] + . . \\ &- [\xi_l] [D(t - l\Delta t)] ) \end{split}$$

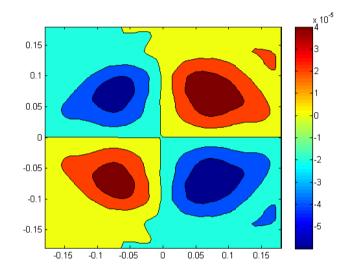
## Results

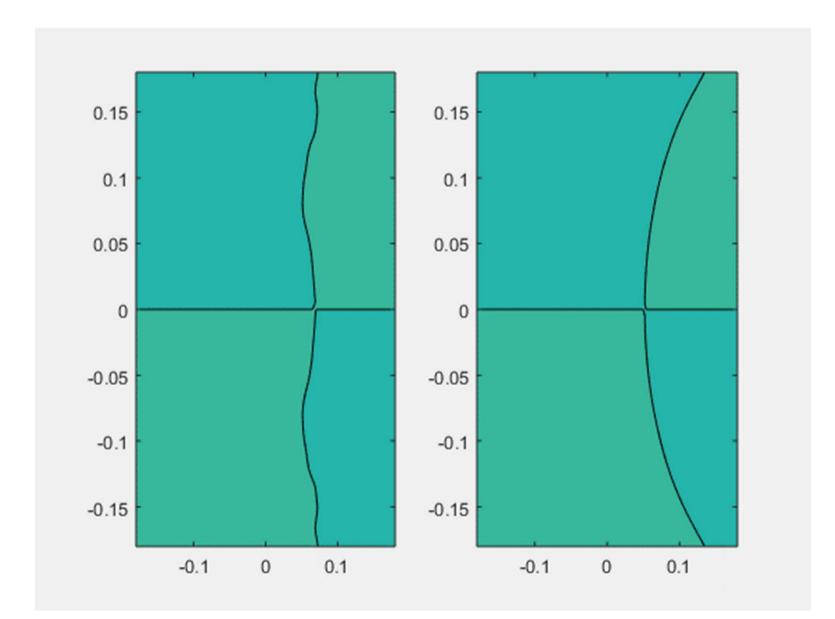


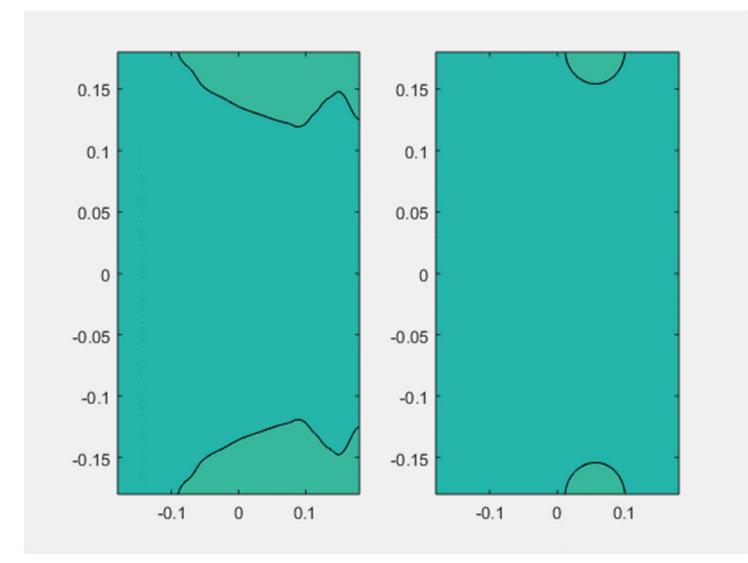


## Predictions

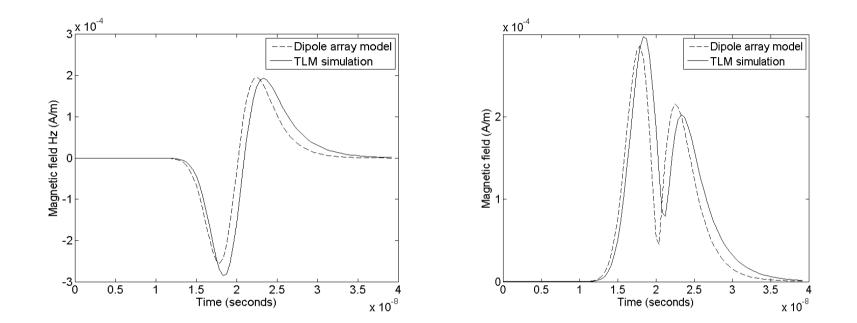








### Results



# Conclusions

- The principles of near field scanning discussed
- Discussed using the fields measured to produce equivalent models
- Frequency domain and time domain approaches described

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# Thank You